Appendix

LIST OF WORKSHOP PARTICIPANTS

David Applegate, U.S. Geological Survey, Reston, Virginia

Kira Brooks, Michael Baker Jr., Inc., Alexandria, Virginia

Michael Buckley, Federal Emergency Management Agency, Washington, D.C.

Stephen A. Cauffman, National Institute of Standards and Technology, Gaithersburg, Maryland

David Chadwick, First American RE Services, Arlington, Virginia

Nell C. Codner, National Oceanic and Atmospheric Administration, Silver Spring, Maryland

Daniel Cotter, Department of Homeland Security, Disaster Readiness Caucus, Washington, D.C.

Michael P. Gaus, PhD, Professor Emeritus, Williamsburg, Virginia

David A. Harris, FAIA, National Institute of Building Sciences, Washington, D.C.

John R. Hayes, Jr., PhD, PE, National Institute of Standards and Technology, Gaithersburg, Maryland

Claret M. Heider, National Institute of Building Sciences, Washington, D.C.

Thomas L. Holzer, U.S. Geological Survey, Menlo Park, California

Douglas G. Honegger, D.G. Honegger Consulting and ALA Team Leader, Arroyo Grande, California

Christopher Hudson, PE, Federal Emergency Management Agency, Washington, D.C.

Kathy Jones, U.S. Army Corps of Engineers, Hanover, New Hampshire Angela R. Kamrath, NEES Cyberinfrastructure Center, San Diego Supercomputing Center, La Jolla, California

Brian King, FM Global, Norwood, Massachusetts

Charles Kircher, PE, Charles Kircher and Associates Consulting Engineers, Palo Alto, California

Edward M. Laatsch, PE, Federal Emergency Management Agency, Washington, D.C.

Jon Lea, NEES, Inc., Davis, California

Christopher W. Letchford, BE, Dphil, MIEAust, Texas Tech University, Lubbock Alan R. Lulloff, PE, CFM, Association of State Floodplain Managers, Madison, Wisconsin Scott McAfee, Federal Emergency Management Agency, Washington, D.C. Thomas McLane, Applied Technology Council, Arlington, Virginia David Mendonca, New Jersey Institute of Technology, Newark Bernard F. Murphy, PE, National Institute of Building Sciences, Washington, D.C. James K. Murphy, Michael Baker, Jr., Inc., and ALA Project Team member, Alexandria, Virginia Stuart Nishenko, PhD, Pacific Gas and Electric Company and ALA Project Team member, San Francisco, California Joy M. Pauschke, PhD, PE, National Science Foundation, Arlington, Virginia Timothy A. Reinhold, PhD, PE, Institute for Business and Home Safety, Tampa, Florida Claire Lee Reiss, JD, ARM, CPCU, Public Entity Risk Institute, Fairfax, Virginia Clifford J. Roblee, PhD, PE, NEES, Inc., Davis, California Linda R. Rowan, American Geological Institute, Alexandria, Virginia William U. Savage, PhD, U.S. Geological Survey and ALA Team member, Menlo Park, California Philip J. Schneider, AIA, National Institute of Building Sciences, Washington, D.C. Alan Springett, Federal Emergency Management Agency, Washington, D.C. Susan K. Tubbesing, Earthquake Engineering Research Institute, Oakland, California Loren L. Turner, PE, Caltrans, Sacramento, California Stuart D. Werner, Seismic Systems and Engineering Consultants, Oakland, California Brent H. Woodworth, IBM Crisis Response Team, Woodland Hills, California T. Leslie Youd, Brigham Young University, Provo, Utah

Appendix B

WORKSHOP AGENDA

AMERICAN LIFELINES ALLIANCE (ALA) WORKSHOP ON UNIFIED DATA COLLECTION

October 11-12, 2006 American Institute of Architects Headquarters Board Room Washington, D.C.

October 11, 2006 (Wednesday)

8:00 - 8:30 am	Continental Breakfast
8:30 - 8:50 am	Welcome Brent Woodworth, IBM Crisis Management
	Team and MMC Chair, and Mike Buckley, FEMA)
8:50 - 9:15 am	Introduction and Overview of Workshop Doug
	Honegger, ALA Project Team Chair
9:15 - 10:00 am	Keynote Speakers on Recent Data Collection Experiences
	Steve Cauffman, NIST, and Alan Springett, FEMA
10:00 - 10:30 am	Keynote Speaker on Perspectives from the Insurance
	Industry Tim Reinhold, IBHS
10:30 - 10:45 am	Break
10:45 - 11:15 am	Keynote Speaker on Recommendations from USGS
	Circular 1242 Tom Holzer, USGS
11:15 - 11:45 am	Keynote Speaker on Recent Database Efforts and Needs
	Anke Kamrath, SDSC
11:45 am -12:10 pm	Discussion and identification of working group topics
12:10 - 12:45 pm	Lunch
12:45 - 1:00 pm	Assign working groups
1:00 - 4:00 pm	Working group meetings
4:00 - 5:00 pm	Summary of working group meetings/discussion
1	

October 12, 2006 (Thursday)

8:00 - 8:30 am	Continental Breakfast
8:30 - 9:30 am	Overview from working group summary
9:30 - 10:30 am	Identify needs
10:30 - 10:45 am	Break
10:45 - 11:15 am	Identify barriers
11:15 am - 12:00 pm	Identify approaches to overcome barriers
12:00 - 12:45 pm	Lunch
12:45 - 2:00 pm	Plan for action
2:00 - 2:15 pm	Closing remarks

Appendix C

> Working Group 1

WORKING GROUP BACKGROUD INFORMATION

Improving Mechanisms and Procedures for Post-Disaster Investigations

Working Group 1 Lead: Doug Honegger

Working Group 1 Participants: Andrew Bruzewicz, Stephen Cauffman, Nell C. Codner, Thomas L. Holzer, Christopher W. Letchford, William U. Savage (secretary), Alan Springett, Susan K. Tubbesing, T. Leslie Youd

- What shortcomings in present approaches need to be addressed?
- Distinguishing between perishable and non-perishable data.
- Is there too much emphasis on short-term data collection efforts (e.g., a primary goal is to publish a reconnaissance report)?
- Unrealistically short periods to conduct investigations given broad data collection needs, access to facilities, and availability of key facility personnel.
- How can the need for uniform data collection guidelines be addressed without sacrificing the flexibility to capture modes of damage that may not have been previously identified?
- Are we maximizing the use of current technology to provide the accurate location and description of damage?
- How to best accommodate collection of both perishable and non-perishable data?
- Multiphase data collection process that begins with the capture of perishable data and ends with the addition of supporting data that may be made available weeks or months after the collection of perishable data.
- Prioritization of damage data collection efforts to address known deficiencies in knowledge.
- Segregation of data collection efforts to avoid duplication of efforts.
- What organizational structure characteristics/changes would improve timely post-event deployment of field investigators?
- Flexible funding mechanisms.

Working Group 2

٠	A pre-identified pool of individuals and/or organizations from which to
	populate field reconnaissance teams.

- The capability to provide the level of training necessary to ensure consistent, efficient, and complete data collection.
- Resources that can be devoted to post-event analysis of damage data and the formulation of recommendations to improve future performance.

Working Group 1 Reporting:

- Vision for improving mechanisms and procedures for post-disaster investigation
- What can we realistically expect to achieve and how over both the short term and the long term

Improving Cooperation Among Public and Private Organizations

Working Group 2 Lead: Ed Laatsch

Working Group 2 Participants: David Chadwick, Michael P. Gauss, Claret Heider (secretary), Kathy Jones, Brian King, Charles Kircher, Alan R. Lulloff, Thomas McLane, Timothy A. Reinhold, Brent H. Woodworth

- Characteristics necessary to assure new approaches are viewed as mutually beneficial as measured by perceived value of access to much broader data sets compared to the costs associated with collection of data being donated to the system.
- Removing data "embargos" by academic investigators who wish to hold data as leverage for soliciting future research funds or publishing research findings.
- Emphasize comprehensive data collection in addition to a focus on very narrow topics. For example, efforts focused on collecting wind-blown debris damage may miss other opportunities to collect other important performance information related to the adequacy of roof tie-down systems, anchorage of roof-mounted equipment, and damage to non-building structures.
- To what degree does private sector ownership of unique information on performance create a potential for a competitive advantage and reduce the incentive to share data?
- What types of cooperative agreements for post-event investigations may be needed?

•	How can coordination among other federal agencies, federally funded initiatives (e.g., WindHRP), and private organizations currently involved in post-event damage data collection (e.g., professional organizations, indus- try groups) be improved? What cooperative frameworks are possible (from a legal and/or practical view) among various federal agencies and between federal agencies and the private sector?	
•	To what degree can federal agencies "direct" the use of uniform guidelines for post-disaster earthquake investigations activities that they fund?	
W	orking Group 2 Reporting:	
•	Vision for improving cooperation among public and private organizations	
•	Obstacles to achieving that vision	
•	What can we realistically expect to achieve and how over both the short term and the long term	
De	efining an IT Framework for Data Archiving and Exchange	Working
We	orking Group 3 Lead: Anke Kamrath	Group 3
Ki	orking Group 3 Participants: ra Brooks, John Lea, Scott McAfee, David Mendonca, Philip Schneider, ren Turner, Stuart D. Werner (secretary)	
•	Should database protocols be established first or should they evolve to accommodate the types of data?	
•	Access and preservation of data:	
•	User access to be as open as possible via internet.	
•	Virtual system with transparent access to multiple data housing sites.	
•	Centralized storage of all data to assure preservation and migration of data to new data storage technologies.	
•	Types of data to be managed - Digital images. - GIS databases. - Text and spreadsheet files. - PDF files. - Digital audio. - Digital video.	

	 Should provisions be made to store supplemental data from detailed research investigations conducted in a time frame of 1 to 5 years after an event? Identification of current frameworks that could be adapted (e.g., NEESit, Library of Congress, other). What research is needed to develop procedures, software, and hardware to facilitate the collection and dissemination of field data? What security requirements are necessary to control access to potentially sensitive data? Working Group 3 Reporting: Vision for defining an IT framework for data archiving and exchange Obstacles to achieving that vision
	Obstacles to achieving that vision
	• What can we realistically expect to achieve and how over both the short term and the long term
Working	Long-Term Administration of the Data Archive
Group 4	
	Working Group 4 Lead: Jim Murphy
	Working Group 4 Participants: David Applegate, Michael Buckley, Daniel Cotter, David Harris, John Hayes, Stuart Nishenko (secretary), Joy Pauschke, Claire Lee Reiss, Clifford Roblee, Linda Rowan
	• Efforts to collect, disseminate, and evaluate data for the purposes of improving the resiliency of the built environment need to be maintained over a period of time that can be considered "indefinite" relative to typical federal initiatives (e.g., 50 to 150 years).
	• To what degree should administration plan be based upon the assumption that that existing federally supported centers and institutions will continue to function over the long term as they are now?
	• Can one federal agency serve as the lead for administration, setting research objectives, and reporting to Congress on the data collection program? If not, is there a need for a new entity or new cooperative structure among agencies?
	• Is an alternate model that relies on achieving a self-sustaining funding mechanism (e.g., annual personal and organizational subscriptions, fees

for service) possible and/or practical? What restrictions or limitations could exist with respect to taking data largely derived from federal funding?

Working Group 4 Reporting:

- Vision for long-term administration of a data archive
- Obstacles to achieving that vision
- What can we realistically expect to achieve and how over both the short term and the long term

SPEAKER PRESENTATIONS

Stephen Cauffman, NIST



Collection of Perishable Data Following Hurricane Katrina and Hurricane Rita

ALA Natural Disaster Data Collection Workshop October 11, 2006

Stephen A. Cauffman Leader, Structures Group Building and Fire Research Laboratory, NIST stephen.cauffman@nist.gov

NIST

Overall Approach

- Multi-organizational reconnaissance of the performance and damage to physical structures 26 experts drawn from 16 private sector, academic, and government
- NIST-led reconnaissance was a cooperative effort from its very launch.
- Data and information openly shared between NIST, other federal agencies, and private sector participants.
- While findings and recommendations are those of NIST, the report and its recommendations have been reviewed by the participating organizations.
 Interagency cooperation is continuing as agencies plan and carry-out follow up actions in response to recommendations.
- · Complements other completed and ongoing studies of the performance of structures in the Gulf region.
- Only study to take a broad look at damage to physical structures (major buildings, infrastructure, and residential structures) and its implications for the Gulf Coast and other hurricane-prone regions.

NIST



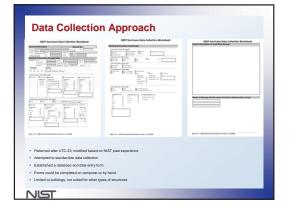
- The 26 experts were deployed in 3 sub-teams to conduct reconnaissance in: Mississippi Gulf Coast (Hurricane Katrina) – Oct. 17-21, 2005
 New Orleans (Hurricane Katrina) – Oct. 17-21, 2005
 Southeast Texas (Hurricane Ratina) – Oct. 10-14, 2005
- Each of the three teams was further subdivided to focus on major buildings, infrastructure, residential structures.

NIST

Scope of Reconnaissance

- Collect and analyze:
- Perishable field data (e.g., first-hand observations, photographic data) on performance of physical structures.
- Environmental data on wind speed, storm surge, and flooding, and relate environmental data to observed structural damage.
- Review and analyze relevant data collected by other sources (e.g., government agencies, academic and research organizations, industry groups).
- Document field observations, environmental conditions, and data gathered from other sources, and make recommendations for
 - Repair and reconstruction in the devastated regions.
 - Improving building codes, standards, and practices
 - Further study of specific structures or research and development.

NIST



Data Collection Approach (2)

Identified key data

- Description of structure (e.g., structure type and use, construction type, materials used, approximate age)
 Location (latitude and longitude)

- Written observations (type and extent of damage, measurements)
- Photographs
- Data collected in handwritten form, matched with photographs at a later time.
- This approach was most efficient in the field since equipment (GPS, still cameras, camcorders, computers, communication equipment) was not integrated.

NIST

Issues

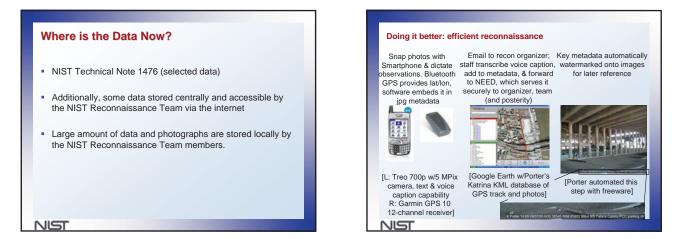
- No easy system existed to compile data, so
 - We spent hours copying, pasting, transcribing, etc.
 - Few photos have precise geolocation attached.
 - Photos not always linked with written observations.
- No place to store data not used in the report, so
 - 100s of photos and notes were never centrally storedThese images, locations, descriptions, etc. were not bound
- Individuals on team used different methods for storing and
 - compiling dataAdditional work required to integrate data from different
 - sources into final report.

NIST

Other Considerations

- Objective was to document findings in a final report and develop recommendations for improvements.
- As the key technical issues became clear, observations that illustrated those issues were selected for report and centrally stored.
- Photographs were matched with written observations during drafting of the report. Draft sections centrally stored; other data stored locally by team members.

NIST







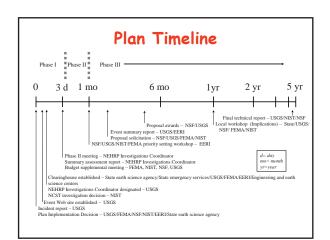
Thomas Holzer, USGS

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Who were we trying to coordinate?

- · Federal (NEHRP)
 - USGS
 - NSF (Engineering and Geosciences Directorates)
 EERI LFE program
 - · SGER
 - Earthquake Centers, NEES
 - · Individual investigators redirection
 - · GEER
 - NIST (NCST)
 - FEMA (MAT)
- State (Earth science agencies)
 - Others (Professional organizations, government agencies, private sector...)
 - government agencies, private sector...)



Process

- Prepared under aegis of Applied Technology Council
 Formal preparation
 - Seven-member *multidisciplinary* committee appointed to write plan
 - Nineteen member *multi-institutional* oversight committee appointed to review plan
- Invitational workshop with EERI to solicit community input (March 2001)

Major Issues Identified at Workshop

- Structural and nonstructural damage data are not systematically collected
- Social science aspects are not addressed
- Earth-science investigations have been done relatively well

Who were we trying to coordinate?

- · Federal (NEHRP)
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 - Individual investigators redirection
 - · GEER
 - NIST (NCST)
 - FEMA (MAT)
- State (Earth science agencies)
- Others (Professional organizations, government agencies, private sector...)

The Plan's Recommendations for further action

- 1. Broaden coverage and comprehensiveness of earthquake impacts
 - a. Built environment
 - b. Socioeconomic environment
- 2. Encourage use of information technology
- 3. Formalize data management and archiving (NEED-National Earthquake Experience Database)

Strategy involves a series of actions to achieve a goal

Aspirations are not a strategy

NEHRP Goals

- 1. Broaden coverage and comprehensiveness of earthquake impacts
 - a. Built environment
 - b. Socioeconomic environment
- 2. Encourage use of information technology
- 3. Formalize data management and archiving (NEED-National Earthquake Experience Database)

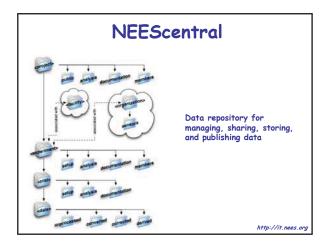
Strategy involves a series of actions to achieve a goal

Elements of a strategy:

- What is going to be done?
- By whom?
- When?
- · How?

Status Report

- NEESit & NEEScentral
- Google Earth
- Virtual technical clearinghouse
- · SEAOC
 - Ad hoc post-disaster performance observation committee
- · ALA effort





<u>Under Development by USGS</u> NEHRP Virtual Technical Clearinghouse

- \cdot Data repository
- Damage descriptions
- $\boldsymbol{\cdot}$ Investigation teams
- Collaboration opportunities
- Research recommendations

SEAOC

Post-earthquake observations of performance by practicing structural engineers

Bottom Line

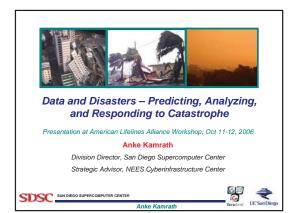
- NEHRP needs to create and assume responsibility for NEED
- NEHRP needs to provide leadership for coordinating grass roots efforts

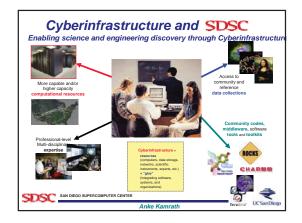
Strategy involves a series of actions to achieve a goal

Elements of a strategy:

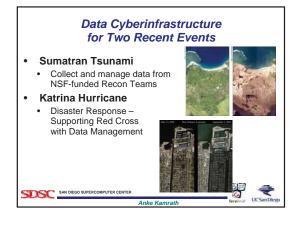
- What is going to be done?
- By whom?
- \cdot When?
- · How?

Angela Kamrath, UCSD

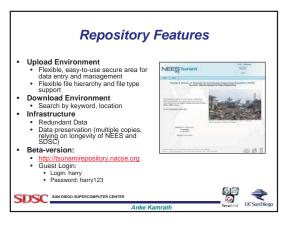


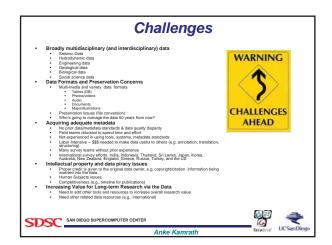


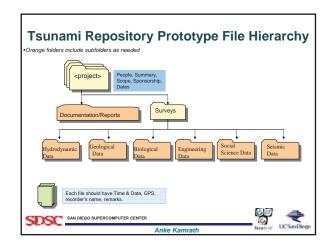


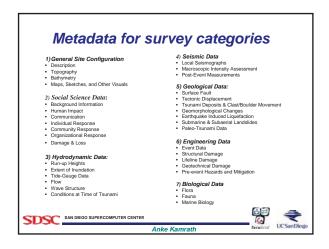
















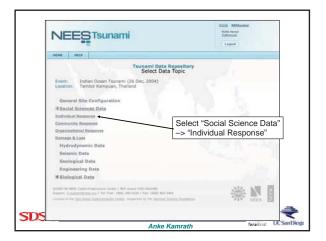


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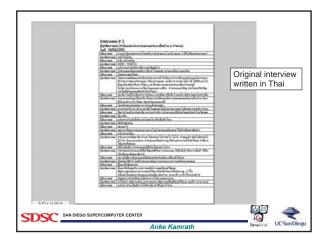














Next Steps

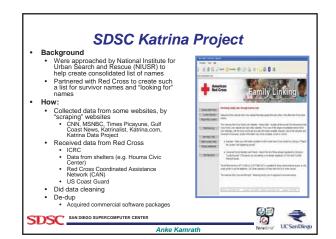
Drivers for Success

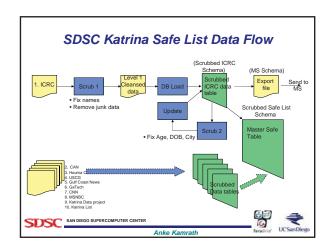
- Motivate data providers to upload his/her data (e.g., Minimize time and effort for upload)
- Weed out unnecessary data by requiring proper metadata in the upload process
- Value Added -- Effective and efficient queries and data utilization General Comments
- · Provides framework of field data repository for other natural and
 - manmade hazards, e.g. earthquakes and hurricanes. Support for long-term repository is essential to preserve data
- Where next:
 - · Repository could readily be extended for international research community in a variety of disciplines.
- For real research value needs be expanded to accommodate other tsunami survey data collected by both national and SDSC SAN DIEGO UC SanDie

Anke Kamrath

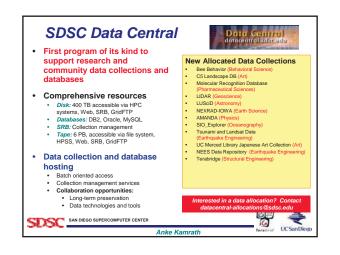


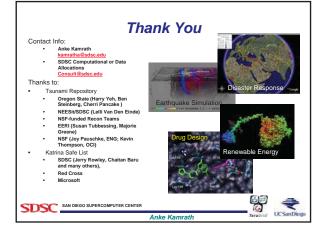
Hurricane Katrina:











Tim Reinhold, IBHS

Insurance Industry Perspectives? Attempts to Become Data Driven

> Tim Reinhold Director of Engineering & VP

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Some Insurance Perspectives

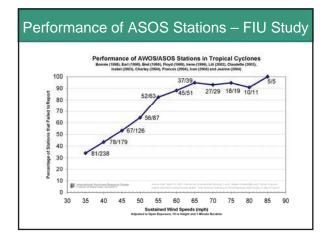
- Privacy Issues
- Largest companies feel they can do it all themselves – reluctant to release data
- Competitive Advantage
- Everybody wants the lowest risk portfolio
- Historical lack of information about what they are insuring – need for inspections
- Case History Hail

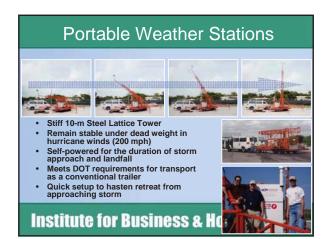
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Understanding the Event

- Before the event setup monitoring systems
- During the event on line data reporting
- · After the event
 - Analysis of event strength at various locations
 - Damage investigations
 - Damage assessments

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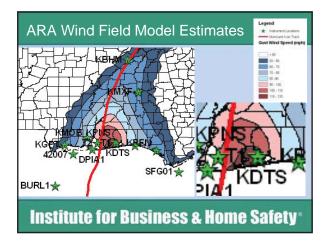










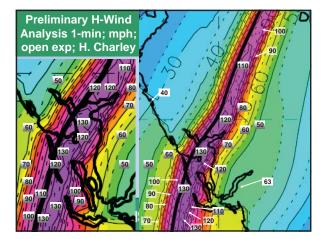


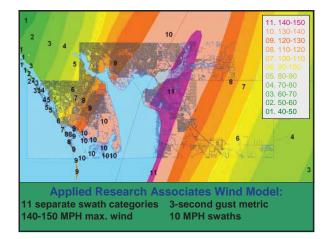


Hurricane Charley Experience: Residential Properties

- Immediate damage surveys
- Property appraiser's database
- Building permits
- Sampling and resultant home surveys
- Closed claim files
- Untapped resources Damage estimation company files

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Immediate Damage Surveys

- Tends to gravitate towards greatest damage areas
- Tends to be anecdotal
- Debris, debris sources and transport distances observations – require almost immediate access
- Failure modes to the extent possible from general surveys
- Generally less complete information on event strength

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Property Appraiser's Database

- Depends on local jurisdiction
- No standards for capture of building characteristics (Charlotte County versus City of Punta Gorda)
- Age of property but no age of roof cover
- Does not handle complex situations very well
- Locating property and correlation with other databases

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0076364000000	Demolition		1690310
00000110000001	Remodel		342928
00000011000000	Contractor Estimate Value		201209
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Building Permits

- No standards for capturing information
- Permit offices overloaded after an event
- Tend to enter a single permit when multiple failures exist
- \$ estimates may be biased downward because fees are based on estimated costs
- Lots of types of damage are not captured in permits

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Aggregate Losses for Charlotte County and Punta Gorda

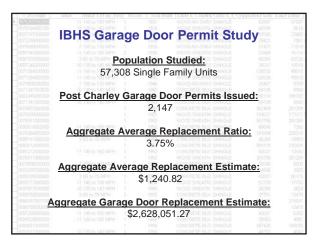
- All Permits: \$1.8 Billion
- Residential Garage Doors: \$2.6 Million
- Shingle Roofs: \$114 Million
- Tile Roofs: \$87 Million
- Residential Screen Enclosures: \$16
 Million

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Demolition Permits

- There were 130 demolition permits pulled in Charlotte County after Hurricane Charley struck
- None of those permits were for homes built after Hurricane Andrew struck South Florida in 1992

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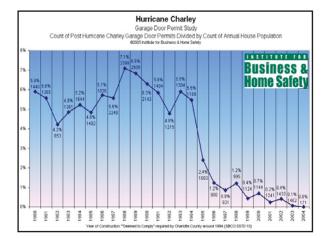


Garage Door Failures



- Failed primarily due to lack of reinforcement and track bracing for design pressures
- Some were also damaged by windborne debris

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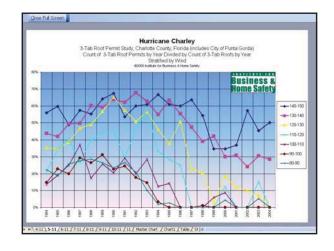




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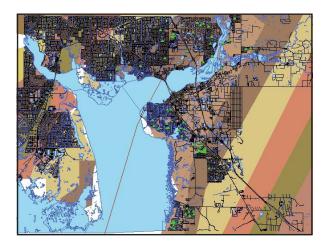


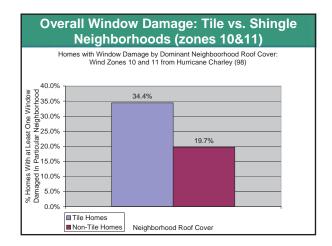
Sampling and Resultant Home Surveys

- Used property appraiser's database to stratify population by:
 - Age of home
 - Type of roof cover
 - Estimated maximum wind speed at location
- Random sample but required homeowner willingness to participate (~1:10 success rate) probably biased results

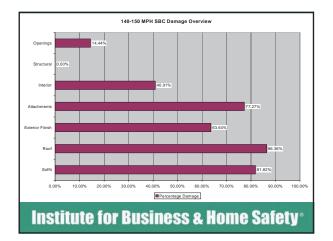
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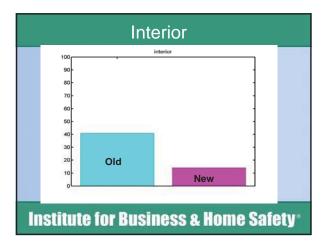
UF / IBHS DCA Survey Breakdown					
Storm	Ivan	Frances / Jeanne		Charley	
# of samples	36	33		126	
Wind Speed	110-120	110-120	110-120	130-140	140-150
Zone	8	8	8	10	11
Old Code 1994–2002	20	17	10	45	24
New Code 2002-2004	16	16	12	12	23













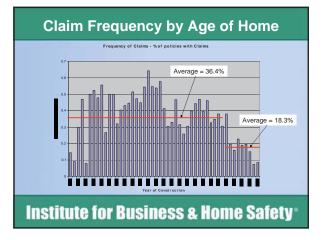


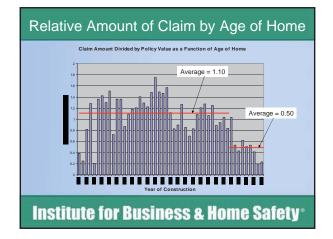


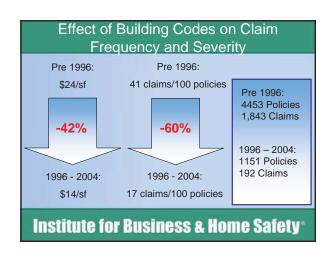
Closed Claim Files

- Probably the best source of data on extent of damage and types of damage
- No insight into failure modes
- Sample limited to properties with enough damage to create claim
- No data on age of roof cover
- No details on building components or construction
- Damage estimation programs

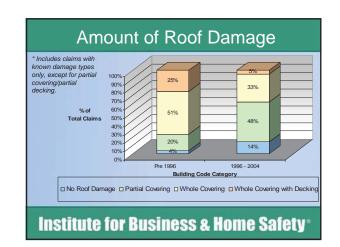
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Additional Issues

- Need to understand details of construction – Regional differences
 - Norms versus age of construction
 - Not as simple as "X year model with Y options"
- Understanding of code requirements
- Understanding the event
- Understanding underlying issues and national debates
- Consensus reports (pros and cons)

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Challenges

- Need to move beyond anecdotal
- Need statistics
 - What works
 - What doesn't work
- Need to capture data in a way that allows future correlation with new event data, analyses and modeling
- Experienced but open mind
- Develop cause and effect relationships

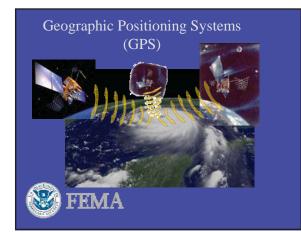
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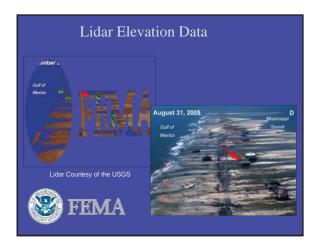
	event phase			
Changes in	Before	During	After	
Where you build	 Land use planning Protective barriers Understanding risks Laws & regulations Incentives/disincentives 	 Event magnitude Evacuation Communication 	 Access to services Access to property Power availability Community planning Risk mitigation 	
How you build	 Code adoption >Adequacy of code >Test standards & ratings >Code plus construction >Code enforcement >Education & certification >Public awareness >Incentives 	 Life safety Shelter Continued operation Property damage 	 Recovery time Extent of damage Emergency repairs Use of property Rebuilding better Code improvement Community resiliency Recovery costs 	
How well you maintain	 Incentives/disincentives Public awareness Education 	 Extent of damage Scale of damage Loss of function 	 Recovery time Recovery costs 	

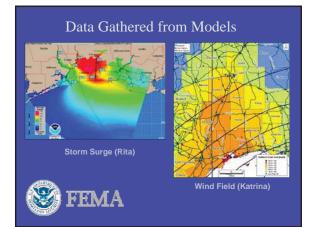
Alan Springett, FEMA



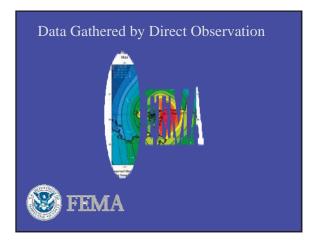






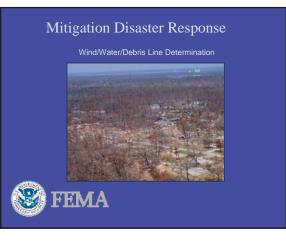






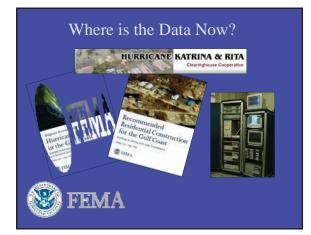
















- Preliminary High Water Mark Elevations
- >Wind/Water Line Information
- Estimated 1% Annual Surge Elevations



